

These semi-private notes are constructed from the following books:

- R.Wald, "General Relativity" University of Chicago Press, 1984
- S.M.Carrol, "Spacetime and Geometry, An Introduction to General Relativity", Addison-Wesley, 2003.
- L.D.Landau, "The Classical Theory of Fields", Course of Theoretical Physics, Vol. 2. Classical Theory, Butterworth-Heinemann, 1980.
- B.F.Schutz, "A First Course in General Relativity", Cambridge University Press, 1985.
- B.F.Schutz, "Geometrical Methods of Mathematical Physics", Cambridge University Press, 1980.

If you decide to use them to study or teach, please

- (0) be careful and refer to the original books
- (1) cite/refer to my website
- (2) let me know and send feedbacks.

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## SPECIAL RELATIVITY

INERTIAL REF. SYSTEM = Ref. system in which a freely moving body\* moves at constant velocity.  
 (\* No forces are acting on the body)

### POSTULATES OF SR :

- Principle of relativity : All laws of nature are identical in all inertial systems of reference.  
 → Physics laws must be invariant with respect to transformation of coordinates from one inertial system to another.
- The speed of light in vacuum is the same in all inertial system its value is finite :

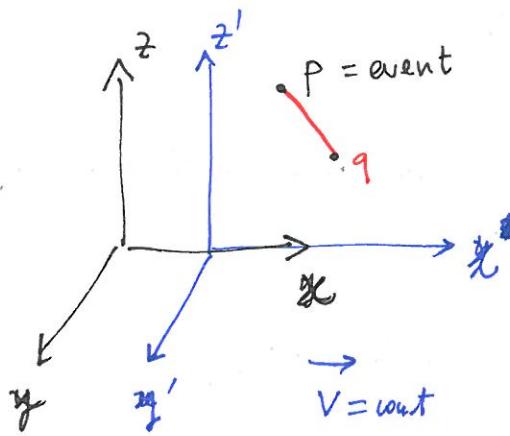
$$c \approx 2.99 \dots \cdot 10^{10} \text{ cm s}^{-1}$$

(The speed of propagation of interactions is universal constant,  
 $c$  is the max speed at which interaction can propagate)

### Observations

- time is not absolute ; time intervals can have different values from ref. system to another.
- without no notion of "simultaneity" one cannot define distances (spatial intervals) in an ref. system - invariant why !

Example : Distances in pre-rel. physics vs. SR



$$\begin{cases} t' = t & \text{(absolute time)} \\ x' = x - vt \\ y' = y \\ z' = z \end{cases}$$

Galileian transformation

$\delta q$  = invariant

$$s^2 = \delta x^2 + \delta y^2 + \delta t^2$$

$$x_i = (x_1, x_2, x_3) = (x, y, z)$$

$$= \sum_{i=1}^3 \delta x_i^2$$

$$= \sum_i \sum_j \delta_{ij} (\delta x_i)(\delta x_j) \quad \delta_{ij} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \text{diag}(1,1,1)$$

$$= \delta_{ij} (\delta x^i)(\delta x^j) \quad - \text{sum understood} -$$

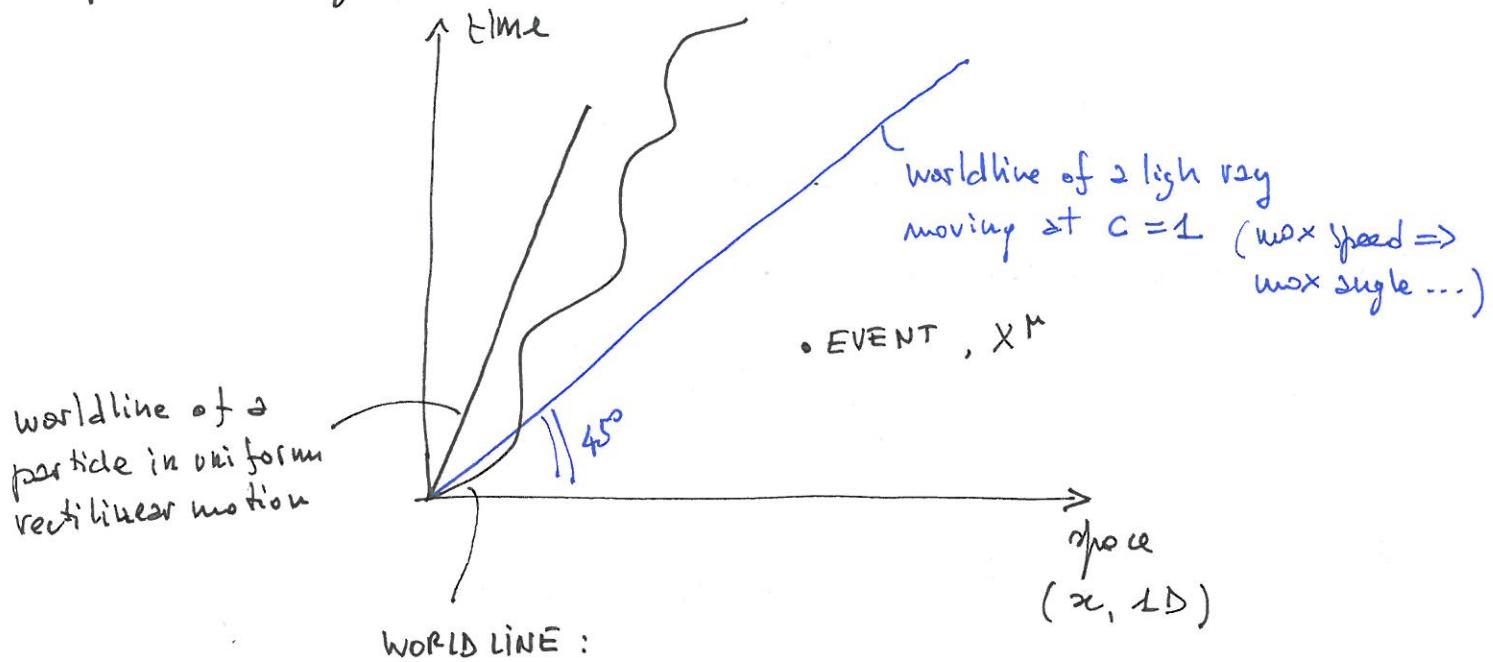
$$= (\delta x_i)(\delta x^i) \quad - " -$$

- Works because there's a single time so we need to care only about translation and rotations between spatial reference systems.
- In SR : Is there some invariant interval ?
- Remark :  $s^2$  is a quadratic form of the coordinates. Euclidean distance and scalar product in  $\mathbb{R}^3$ .

# SPACETIME DIAGRAMS & INVARIANT INTERVAL

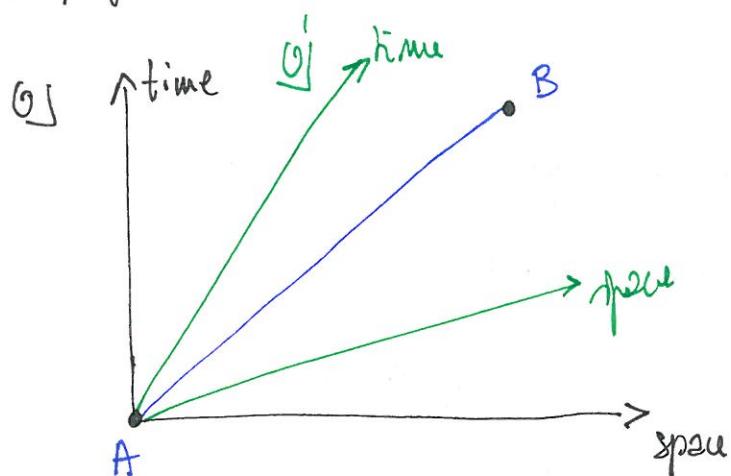
(2) Spacetime = 4D continuum of events labelled by coordinates  $x^\mu = (t, x_1, y_1, z_1)$   
 $\mu = 0, 1, 2, 3$

Spacetime diagram:



"What is "invariant" in this diagram?"

→ light propagates at the same speed in any ref. sys :



Take 2 events :

A: Emission of light at  $(t_1, x_1, y_1, z_1)$  in  $O$

B: Arrival of light at  $(t_2, x_2, y_2, z_2)$  in  $O$

Take another ref. sys. observing the same events :

A:  $(t'_1, x'_1, y'_1, z'_1)$  in  $O'$

B:  $(t'_2, x'_2, y'_2, z'_2)$  in  $O'$

Light propagate at  $c$  so the distance is:

$$\overline{AB} = c(t_2 - t_1) = \left[ (x_2 - x_1)^2 + (y_2 - y_1)^2 + (z_2 - z_1)^2 \right]^{1/2}$$

$$= \left[ \sum_{i=1}^3 (x_2^i - x_1^i)^2 \right]^{1/2}$$

$$\rightarrow -c(t_2 - t_1) + \left[ \sum_i (x_2^i - x_1^i)^2 \right]^{1/2} = 0$$

But the same must hold for  $O'$ :

$$\rightarrow -c(t_2' - t_1') + \left[ \sum_i (x_2'^i - x_1'^i)^2 \right]^{1/2} = 0$$

$$\Rightarrow \boxed{s_{12}^2 \equiv -c^2(t_2 - t_1)^2 + \sum_i (x_2^i - x_1^i)^2}$$

is invariant interval for light-like events.

Infinitesimal version:

$$ds^2 = -c^2 dt^2 + dx^2 + dy^2 + dz^2$$

### Observations

- Quadratic form similar to Euclidean distance but with a " $-$ "
- We could write it as:

$$ds^2 = -c^2 dt^2 + \sum_{i=1}^3 (\partial x^i)^2$$

$$= \sum_{\mu=0}^4 (dx^\mu)^2 \quad x^\mu \equiv (ct, x, y, z) = (x^0, x^1, x^2, x^3)$$

$$= \sum_{\alpha, \beta} \eta_{\alpha \beta} dx^\alpha dx^\beta \quad \eta_{\alpha \beta} = \text{diag}(-1, 1, 1, 1)$$

$$= dx_\beta dx^\beta$$

$\rightarrow$  distance from a scalar product (not Euclidean) in  $\mathbb{R}^4$

$$\bullet \quad ds = 0 = ds' \quad \left. \begin{array}{l} \\ \text{ds infinitesimal} \end{array} \right\} \quad \text{in general} : \quad ds^2 = a ds'^2$$

they must be proportional (infinitesimal of same order)

with :  $a = a(|\vec{V}|)$ ,  $\vec{V}$  = relative velocity  $O-O'$ .

In fact:

- homogeneity of spacetime  $\Rightarrow a$  cannot depend on  $(t, x^i)$   
otherwise different points would not be equivalent;

- isotropy of space  $\Rightarrow a$  cannot depend on  $\vec{V}$  direction  
otherwise there would be a favorite direction.

In general:

$$\boxed{ds^2 = ds'^2}$$

the spacetime interval  $ds^2 = g_{\alpha\beta} dx^\alpha dx^\beta$  between 2 events  
is the same in all inertial systems.

PROOF :

Take 3 inertial systems :  $O_1, O_2, O$

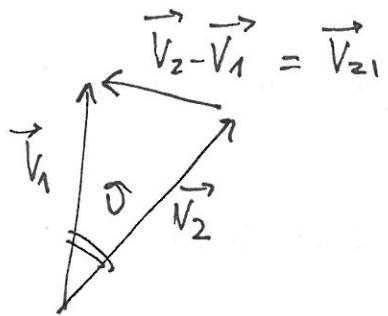
with rel. velocities :  $\left. \begin{array}{l} V_1 : O_1 - O \\ V_2 : O_2 - O \\ V_{12} : O_1 - O_2 \end{array} \right\}$

We have :

$$ds^2 = a(V_1) ds_1^2 = a(V_2) ds_2^2$$

$$ds_1^2 = a(V_{12}) ds_2^2$$

$$\rightarrow a(V_{12}) = \frac{a(V_2)}{a(V_1)}$$



-  $|V_{12}|$  depends on  $|V_1|$ ,  $|V_2|$  and the  $\theta$  angle

$\Rightarrow a(V_{12})$  depends on  $\theta$

-  $\frac{a(V_1)}{a(V_2)}$  does not depend on  $\theta$ !

$$- \frac{a(V_1)}{a(V_2)} = a(V_{12}) \Rightarrow a(v) = \text{const} \equiv k$$

- Which constant?  $k \equiv 1$  because:

$$1 = \frac{k}{k} = 1, \text{ the only value compatible ...} \quad \square$$

### Observations

$d^2 s$	= 0	: light-like or null events
.	< 0	: time-like
.	> 0	: space-like

*Symbol!*  $d^2 s = I$

this conceptual subdivision of events (characterization) is independent on ref. sys. (absolute!)

• What kind of events occur at one point of space?

???

$$ds^2 = -c^2 dt^2 + dl^2$$

If they occur at the same point we must have  $dl^{12} = 0$  in some reference frame

$$ds^2 = -c^2 dt^2 + dl^2 = -c^2 dt^{12} + dl^{12} = -c^2 dt^{12} < 0$$

$\rightarrow$  time-like events can happen at same point in space.

(4)

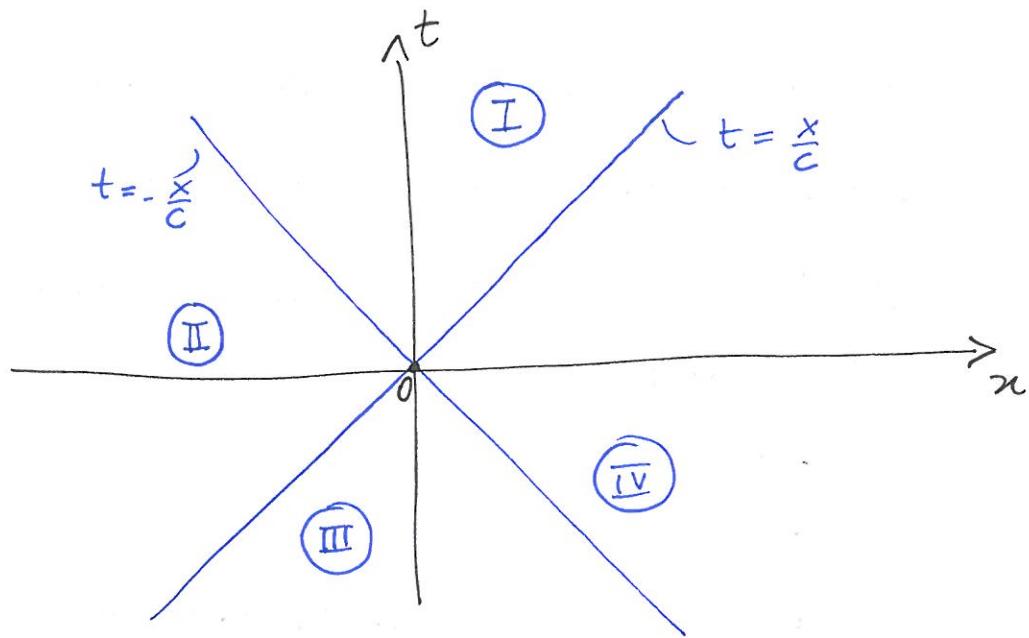
- What kind of events occur at the same time?

$$ds^2 = -c^2 dt^2 + dx^2 = dx^2 > 0$$

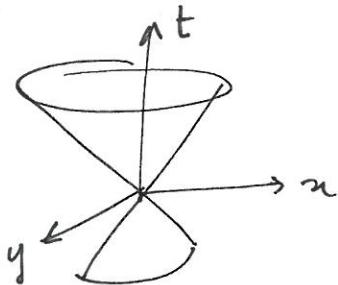
→ Spacelike events.



More on space-time diagram:



- $t = \pm \frac{x}{c}$  define light rays passing by 0
- in 4D (3 spatial dimensions)  $-c^2 t^2 + x^2 + y^2 + z^2 = 0$  is 1 cone with axis "t". A representation in 2D is:



- Region I:  $t > x \Rightarrow -t^2 + x^2 < 0 \Rightarrow$  timelike events  
 $t > 0 \Rightarrow$  all events occur after event 0 }  $\Rightarrow$

it is impossible to find in(I) events that are simultaneous to 0.

→ (ABSOLUTE) FUTURE OF EVENT 0 .

. Region  $\textcircled{III}$ :  $t^2 > x^2 \Rightarrow$  events are timelike }  
 $t < 0 \Rightarrow -u-$  occur before 0 }

→ no events are simultaneous to 0

→ PAST OF 0.

. Region  $\textcircled{II}, \textcircled{IV}$ : events are spacelike.

→ events occur at different points in space in every ref. sys.

→ for any event in these regions there exist a ref. sys.

such that:

- the event occurs before 0
- " — after 0
- " — simultaneously to 0

i.e. "before", "after", "simultaneously" is a concept that depends on the ref. sys.

→ Spacelike events are causally disconnected from 0  
since nothing can propagate beyond the light cone.

# LORENTZ TRANSFORMATIONS

(5)

Principle of relativity  $\Rightarrow$  inertial ref. sys. must be connected by transformations that leave  $ds^2$  invariant.

Consider the formula:

$$ds^2 = \sum_{\alpha, \beta} \gamma_{\alpha \beta} dx^\alpha dx^\beta \quad \alpha, \beta = 0, 1, 2, 3$$

$$= \gamma_{\alpha \beta} dx^\alpha dx^\beta$$

- $x^\alpha \rightarrow x^\alpha + a^\alpha = x'^\alpha \quad a^\alpha = (a^0, a^1, a^2, a^3) \in \mathbb{R}^4$

4D translations  $\rightarrow dx^\alpha = dx'^\alpha \quad \checkmark$

- Linear transformations :  $x'^\mu = \Lambda^{\mu \nu} x^\nu$ , where  
 $\Lambda^{\mu \nu}$  is a  $4 \times 4$  matrix.

Let us use first matrix notation:

$$dx^\alpha = \text{column vector} \equiv \bar{dx}$$

$$\left. \begin{aligned} ds^2 &= (\bar{dx})^T \gamma (\bar{dx}) \\ \bar{dx}' &= \Lambda(\bar{dx}) \end{aligned} \right\} \quad \begin{aligned} ds^2 &= (\bar{dx})^T \gamma (\bar{dx}) = (\bar{dx}')^T \gamma (\bar{dx}') \\ &= (\bar{dx})^T \Lambda^T \gamma \Lambda (\bar{dx}) \end{aligned}$$

$$\Rightarrow \boxed{\gamma = \Lambda^T \gamma \Lambda} \quad \underline{\text{Lorentz transformations}}$$

in components :

$$\boxed{\gamma_{\alpha \beta} = \Lambda^{\alpha 0} \times \Lambda^{\beta 0} \gamma_{00}} \quad \boxed{\gamma_{\alpha \beta} = \Lambda^{\alpha 1} \times \Lambda^{\beta 1} \gamma_{11}}$$

## Observations

- In the 3D spatial sector (don't change the time coords) :

$$M_{3 \times 3} = R^T M_{3 \times 3} R \rightarrow O(3) \text{ Rotations}$$

Example:

$$M^{\mu}_{\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & \sin \theta & 0 \\ 0 & -\sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \text{ rot. about } \hat{z}$$

- $M_{3 \times 3} = \text{diag}(1, 1, 1)$  : matrix defining Euclidean scalar product and distances.
- $M = \text{diag}(-1, 1, 1, 1)$  : defines Lorentzian scalar product
- For Lorentz transformations we have :
  - Rotations  $xy, yz, zx$
  - "Rotations"  $tx, ty, tz \dots$  let us find them!

Consider the most general linear transformation that preserve  $ds^2 = -t^2 + x^2$ :

$$\begin{cases} x' = x \cosh \varphi - t \sinh \varphi \\ t' = -x \sinh \varphi + t \cosh \varphi \end{cases}$$

$$[c=1]$$

(verify at home!)

To find the meaning of the angle  $\varphi$ , consider the motion of point  $x' = 0$  as observed by  $O'$  moving at speed  $V$  w.r.t.  $O$ :

$$x' = 0 \Rightarrow 0 = x \cosh \varphi - t \sinh \varphi \Rightarrow \frac{x}{t} = \frac{\sinh \varphi}{\cosh \varphi} = \tanh \varphi = V \left(\frac{V}{c}\right)$$

$$\Rightarrow \begin{cases} \cosh \gamma = [1 - (\frac{v}{c})^2]^{-1/2} = \gamma & \text{LORENTZ FACTOR} \\ \sinh \gamma = \frac{v}{c^2} [1 - (\frac{v}{c})^2]^{-1/2} = \frac{v}{c^2} \gamma \end{cases}$$

[verify at home]

$$\Rightarrow \begin{cases} t' = \gamma (t - \frac{v}{c^2} x) \\ x' = \gamma (x - vt) \end{cases} \quad \begin{array}{l} \text{Boost transformation} \\ \text{in } x\text{-direction.} \end{array}$$

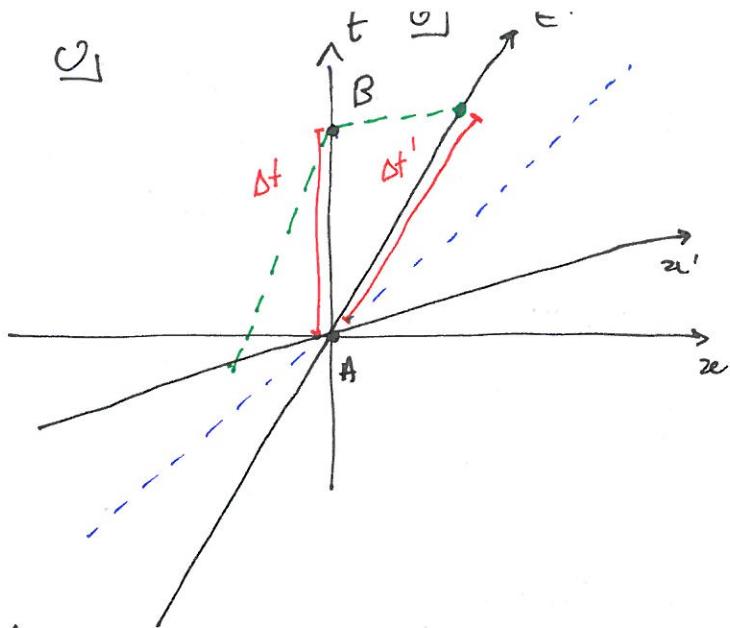
### Observations

- $c \rightarrow +\infty$  ( $c \gg v$ )  $\Rightarrow \gamma \rightarrow 1, \frac{v}{c^2} \gamma \rightarrow 0 \Rightarrow \begin{cases} t' = t \\ x' = x - vt \end{cases}$   
 $\rightarrow$  Lorentz transformations contain Galilean transf.  
 +s limit :  $v \ll c$  (slow velocity)
- $(\frac{v}{c})^2 \leq 1 \Rightarrow \boxed{\gamma \geq 1}$
- Assuming  $(\frac{v}{c})^2 > 1 \Rightarrow \gamma \in \mathbb{D}_{\text{Im}}$  (Transf. undefined in  $\mathbb{R}^4$ )
- $v=c \Rightarrow \gamma=\infty$  (defn=0) : No observer (ref.-sys.) can move  $\pm c$ !
- Lorentz transformations  $\rightarrow$  time dilation (and length contraction)
  - time interval measured by obs. at rest with clock :  $d\tau$ , PROPER TIME ( $\Delta\tau = \Delta t$ ) .
  - time interval measured by obs. that look at the motion of the object carrying the clock :

$$\left\{ \begin{array}{l} t_A' = \gamma \left( t_A - \frac{v}{c^2} x_A \right) \\ t_B' = \gamma \left( t_B - \frac{v}{c^2} x_B \right) \end{array} \right.$$

$$x_A = x_B = 0 \Rightarrow$$

$$\boxed{\Delta t' = \gamma \Delta t > \Delta t}$$



time elapsed if you move with the clock (proper time)

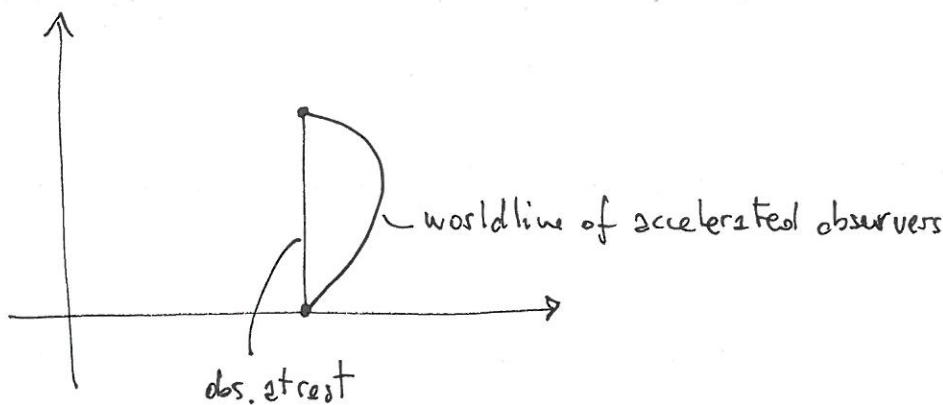
time elapsed according an observer that see you moving with the clock

- time is running slower for moving clocks
- Proper time is the minimal time interval measured by inertial frames.

This concern some world line, different inertial ref. frames.

Consider one ref. sys. and different worldlines:

- The interval AB can correspond to proper time of an accelerated obs.



particle at rest :  $\Delta \tau = \Delta t$

moving / accelerated particle :  $-d\tau^2 = -dt^2 + d^2l$

$$= -dt^2 \left[ 1 - \left( \frac{dl}{dt} \right)^2 \right] = -dt^2 \left[ 1 - \left( \frac{v}{c} \right)^2 \right]$$

$$\Rightarrow \int_{t_A}^{t_B} d\tau = \int_{t_A}^{t_B} dt \left[ 1 - \left( \frac{v}{c} \right)^2 \right]^{1/2}$$

$f^{-1} \leq 1$

→ Proper time is maximum for non-accelerated observers.

### Remark

- $t_A, t_B$  are the same integration limits
- timelike worldlines
- consequence of the " $-$ " in  $\eta$  (SR spacetime is not Euclidean)
- signature choices do not affect this conclusion
- This fact will carry over when we will study geodesics in spacetime
- "Twin paradox"

# "SR DEFINITION" OF 4-VECTORS AND TENSORS

$$x^\alpha = (ct, x, y, z) \quad \alpha = 0, 1, 2, 3 \quad 4D \text{ "vector" } (\rightarrow \text{vector components...})$$

The scalar product :  $\gamma_{\alpha\beta} x^\alpha x^\beta = x_\alpha x^\alpha$  is invariant under Lorentz transformations.

Def: 4-VECTOR = A set of 4 quantities  $A^\alpha$  that transform like components

$$A^{\alpha'} = \Lambda_{\alpha}^{\alpha'} A^\alpha, \text{ if } x^{\alpha'} = \Lambda_{\alpha}^{\alpha'} x^\alpha$$

Example:  $\gamma$ -boost ( $c=1$ )

$$\left| \begin{array}{l} A^0' = \gamma(A^0 - v A^1) \\ A^1' = \gamma(A^1 + v A^0) \\ A^2' = A^2 \\ A^3' = A^3 \end{array} \right.$$

Remark :

- $\gamma_{\alpha\beta} A^\alpha A^\beta = A_\alpha A^\alpha$  is invariant : SCALAR

- $A^\alpha$  : contravariant vector components

- $A_\alpha \equiv \gamma_{\alpha\beta} A^\beta = \sum_{\beta} \gamma_{\alpha\beta} A^\beta = (-A^0, A^1, A^2, A^3)$

covariant vector components, "co-vectors"

- $A_\alpha A^\alpha$ 
  - $0$  : null vector
  - $<0$  : timelike vector
  - $>0$  : spacelike vector

• How to calculate the components of a vector from those of a w.-vector?

In matrix notation:  $\underline{A} = \gamma \bar{\underline{A}} \Rightarrow \bar{\underline{A}} = \gamma^{-1} \underline{A}$

In component notation:  $A^\alpha = (\gamma^{-1})^{\alpha\beta} A_\beta \equiv \gamma^{\alpha\beta} A_\beta$ , i.e.

$$\gamma_{\alpha\beta} \gamma^{\beta\nu} = \gamma^{\nu\alpha} \quad \gamma_{\alpha\beta} = \delta_\alpha^\nu = \mathbb{1}_{4\times 4}$$

• Note that:

$$\frac{\partial x^{\alpha'}}{\partial x^\alpha} = \frac{\partial}{\partial x^\alpha} (\Lambda^{\alpha'}{}_\beta x^\beta) = \Lambda^{\alpha'}{}_\beta \frac{\partial x^\beta}{\partial x^\alpha} = \Lambda^{\alpha'}{}_\beta \delta^\beta_\alpha = \Lambda^{\alpha'}{}_\alpha$$

the notation:

$$A^{\alpha'} = \frac{\partial x^{\alpha'}}{\partial x^\alpha} A^\alpha \quad \text{and} \quad A_\alpha = \frac{\partial x^\alpha}{\partial x^{\alpha'}} A^{\alpha'}$$

is often used to indicate how the components change w.r.t. coordinate change more explicitly.

Def. TENSOR = object with components  $T^{\alpha_1 \dots \alpha_k}_{\beta_1 \dots \beta_l}$  that under coordinate transformation change as:

$$T^{\alpha'_1 \dots \alpha'_k}_{\beta'_1 \dots \beta'_l} = \frac{\partial x^{\alpha'_1}}{\partial x^{\alpha_1}} \frac{\partial x^{\alpha'_2}}{\partial x^{\alpha_2}} \dots \frac{\partial x^{\alpha'_k}}{\partial x^{\alpha_k}} \frac{\partial x^{\beta'_1}}{\partial x^{\beta_1}} \dots \frac{\partial x^{\beta'_l}}{\partial x^{\beta_l}} T^{\alpha_1 \dots \alpha_k}_{\beta_1 \dots \beta_l}$$

### Examples

•  $T_{\alpha\beta}$  is a tensor of type  $(0,2)$ ;  $T_{\alpha\beta} = \frac{\partial x^{\alpha'}}{\partial x^\alpha} \frac{\partial x^{\beta'}}{\partial x^\beta} T^{\alpha'}_{\beta'}$

•  $T_{\alpha\beta}$  is symmetric tensor  $(0,2)$  iff  $T_{\alpha\beta} = T_{\beta\alpha}$

•  $T_{\alpha\beta}$  is antisymmetric tensor  $(0,2)$  iff  $T_{\alpha\beta} = -T_{\beta\alpha}$

• how many components (independent) ?  $\dim = 4$

$$T_{\alpha\beta} \Rightarrow 4 \times 4 = 16$$

$$T_{[\alpha\beta]} \equiv \frac{1}{2}(T_{\alpha\beta} + T_{\beta\alpha}) \quad \text{symm.} \rightarrow \begin{pmatrix} \cdot & & & \\ & \cdot & & \\ & & \ddots & \\ & & & \cdot \end{pmatrix}$$

diagonal + lower (upper) part  
4                                    6

$$\Rightarrow 10$$

$$T_{[\alpha\beta]} \equiv \frac{1}{2}(T_{\alpha\beta} - T_{\beta\alpha}) \quad \text{antisymm.} \rightarrow \begin{pmatrix} & & & \\ & & & \\ & & \cdot & \\ & & & \cdot \end{pmatrix}$$

$\Rightarrow 6$

### Remarks

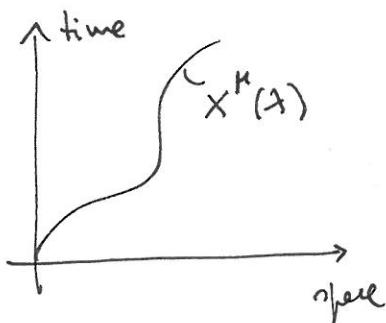
- Vectors and tensors will be defined on coordinate independent objects, what changes are the components ...

- The quantities  $\gamma_{\alpha\beta}, \gamma^{\alpha\beta}, \delta^\alpha_\beta$  are tensor components, but for the moment should be considered "special"  $\rightarrow$  they do not change under coordinate transformation.

# RELATIVISTIC MECHANICS

## KINEMATIC

Worldline parametrized by  $\lambda \in \mathbb{R}$  :  $x^\mu(\lambda)$



Def: 4-velocity

$$u^\alpha \equiv \frac{dx^\alpha}{d\lambda} = \dot{x}^\alpha$$

$\lambda$  generic parameter, but for timelike worldlines one

can use proper time :  $[ds^2 = -d\tau^2]$  ( $c=1$ ) .

How to calculate proper time :

$$\tau = \int \sqrt{-g_{\alpha\beta} dx^\alpha dx^\beta} = \int \sqrt{-g_{\alpha\beta} \dot{x}^\alpha \dot{x}^\beta} d\lambda \xrightarrow{\text{REPARAMETRIZATION}} \tau(\lambda) \rightarrow \tau(\tau) \quad (\text{if parametrization is good ...})$$

$$u^\alpha = \frac{dx^\alpha}{d\tau} \Rightarrow u^\alpha u_\alpha = g_{\alpha\beta} u^\alpha u^\beta = g_{\alpha\beta} \dot{x}^\alpha \dot{x}^\beta = g_{\alpha\beta} \frac{dx^\alpha}{d\tau} \frac{dx^\beta}{d\tau} = \frac{g_{\alpha\beta} dx^\alpha dx^\beta}{d\tau^2} = -1.$$

→ timelike worldline parametrized by  $\tau$  have normalized 4-velocity.

## Remarks

- $u^\alpha$  is dimensionless
- $u^\alpha$  parametrized by  $\tau$  is normalized
- 4-velocity components in rest frame :  $u^\alpha = (1, 0, 0, 0)$
- 4-velocity components for generic observers :  $u^\alpha = (\gamma, \gamma v^i) = (\gamma, \gamma \vec{v})$

Def : 4-ACCELERATION

$$a^\alpha \equiv \frac{d^2 x^\alpha}{d\lambda^2} = \frac{du^\alpha}{d\lambda} = \ddot{x}^\alpha$$

$$\text{Property: } 0 = \frac{d}{d\tau} (u^\alpha u_\alpha) = \frac{d}{d\tau} (g_{\alpha\beta} \dot{x}^\alpha \dot{x}^\beta) = 2 g_{\alpha\beta} \ddot{x}^\alpha \dot{x}^\beta = 2 u_\alpha a^\alpha$$

→ Acceleration is "orthogonal" to velocity.

## DYNAMICS OF PARTICLES

Motion of bodies must be described by a Lagrangian and an action.

$$L, \text{ Lagrangian} \quad [L] = E$$

$$S, \text{ action} \quad S = \int L dt \quad [S] = ET = ML^2 T^{-1}$$

Let us find  $S$ .

$$S = \int \underbrace{ds}_{\substack{\text{INFINITESIMAL} \\ \text{LORENTZ INVARIANT}}} = K \int ds$$

↑  
A constant  
↓ spacetime invariant

For a timelike worldline (= motion of particles) :

$$ds^2 = -c^2 dt^2 + d\ell^2 = -c^2 d\tau^2 < 0$$

→ one can take proper time (or  $\sqrt{-ds^2}$ ):

$$\begin{aligned} S &\equiv K \int \underbrace{\Gamma_{\alpha\beta} dx^\alpha dx^\beta}_{\substack{}} = \int \underbrace{\Gamma_{\alpha\beta} \dot{x}^\alpha \dot{x}^\beta}_{\substack{}} d\lambda = K c \int d\tau \\ &= K c \int dt \underbrace{\sqrt{1 - \frac{v^2}{c^2}}}_{f^{-1}} \\ &\quad \uparrow \\ &dt^2 = dt^2 - \frac{dx^2}{c^2} \end{aligned}$$

Determination of  $K$  from the Newtonian limit:

$$L = \frac{1}{2} m v^2 : \text{Lagrangian of a free particle in Newtonian dynamics.}$$

$$\sqrt{1-x^2} \approx 1 - \frac{x^2}{2} + \mathcal{O}(x^4) \quad x \ll 1 \Rightarrow$$

$$L = Kc \sqrt{1 - \frac{v^2}{c^2}} \approx Kc - Kc \frac{1}{2} \frac{v^2}{c^2} = Kc - \frac{1}{2} \frac{K}{c} v^2 \Rightarrow \boxed{K = mc}$$

there is still a sign ambiguity : if one requires  $\mathcal{S}$  to have a minimum (not only an extremum) one fixes the sign...

$$\mathcal{S} = -mc^2 \int \sqrt{g_{\alpha\beta} \dot{x}^\alpha \dot{x}^\beta} d\lambda$$

$$L = -mc^2 \sqrt{-g_{\alpha\beta} \dot{x}^\alpha \dot{x}^\beta} = -mc^2 \sqrt{1 - \frac{v^2}{c^2}}$$

Remarks:

- Lorentz invariant
- Parametrization invariant (action)

$$\lambda \rightarrow \lambda' ; \quad \frac{d}{d\lambda} \rightarrow \frac{d}{d\lambda'} \frac{d\lambda}{d\lambda'} ; \quad \int \rightarrow \int$$

Given the Lagrangian one can calculate the conjugate momenta and the Hamiltonian:

$$\bullet \quad \vec{P} = \frac{\partial L}{\partial \vec{v}} = -mc^2 \frac{1}{2} \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} \left( -\frac{\vec{v}}{c^2} \right) = \gamma m \vec{v} \quad (\rightarrow = 3\text{-vector Euclidean})$$

$$\bullet \quad H = \vec{P} \cdot \vec{v} - L = \gamma m v^2 - \left( -mc^2 \sqrt{1 - \frac{v^2}{c^2}} \right) =$$

$$= \gamma m v^2 + mc^2 \gamma^{-1} = m \underbrace{\left( \gamma v^2 + \gamma^{-1} c^2 \right)}_{(*)} = \gamma m c^2$$

$$(*) \quad \gamma v^2 + \gamma^{-1} c^2 = \frac{v^2 + c^2 (1 - \frac{v^2}{c^2})}{\sqrt{1 - \frac{v^2}{c^2}}} = \gamma c^2 \quad \text{⊗}$$

Remarks:

- Newtonian limit ( $v \ll c$ ) :  $\begin{cases} \vec{P} \approx m \vec{v} \\ H \approx mc^2 + \frac{mv^2}{2} \end{cases}$
- $v=c \Rightarrow \vec{P} \rightarrow +\infty$

- Particle at rest ( $\gamma=1$ ) :  $H=E=mc^2$

→ the energy of the particle has a contribution from the mass!  
 "mass-energy equivalence"

$$\left. \begin{array}{l} p^2 = \gamma^2 m^2 v^2 \\ H^2 = \gamma^2 m^2 c^4 \end{array} \right\} \rightarrow \begin{array}{l} (i) \quad p^2 = \frac{v^2}{c^4} E^2 \Rightarrow \boxed{p = \frac{v}{c^2} E} \\ (ii) \quad v=c \quad (m=0) \Rightarrow p = \frac{E}{c} \end{array}$$

$$(ii) \quad \gamma^2 v^2 = \gamma^2 c^2 - c^2$$

$$\frac{p^2}{m^2} \quad \frac{H^2}{m^2 c^2}$$

$$\Rightarrow \boxed{H^2 = m^2 c^2 + c^2 p^2} \quad \underbrace{\boxed{H = c \sqrt{p^2 + m^2 c^2}}}_{\text{Relativistic Hamiltonian}}$$

$$\text{Newtonian limit: } H \approx mc^2 + \frac{p^2}{2m}$$

### EQUATIONS OF MOTION

$$0 = \delta S \Leftrightarrow \frac{\delta L}{\delta x^\alpha} - \frac{d}{dt} \frac{\partial L}{\partial \dot{x}^\alpha} = 0 \quad L = L(\dot{x}^\alpha, x^\alpha)$$

Euler-Lagrange equations:

$$0 = \underbrace{\frac{\delta L}{\delta x^\mu} - \frac{d}{dt} \frac{\partial L}{\partial \dot{x}^\mu}}_{=0} = - \frac{d}{dt} \left[ \frac{1}{2} \left( \eta_{\alpha\beta} \dot{x}^\alpha \dot{x}^\beta \right)^{-1/2} 2 \eta_{\mu\nu} \dot{x}^\nu \right] = 0$$

$$L = \left( \eta_{\alpha\beta} \dot{x}^\alpha \dot{x}^\beta \right)^{1/2} = \left( \eta_{\alpha\beta} u^\alpha u^\beta \right)^{1/2} \quad \text{(*)}$$

$$\Rightarrow \frac{d}{dt} u^\mu = \ddot{x}^\mu = a^\mu = 0 \rightarrow \text{"free particle EoM".}$$

Def: 4-momentum  $p^\mu = mc u^\mu$

$$= mc (\gamma, \gamma \vec{v}) = \left( \frac{E}{c}, \vec{p} \right)$$

Remarks:

- $p_\mu p^\mu = m^2 c^2 \underbrace{u_\mu u^\mu}_{=-1} = -m^2 c^2$

$$\Rightarrow \frac{E^2}{c^2} = p^2 + m^2 c^2 \quad \checkmark$$

- Definition is consistent with:  $p_\mu \equiv -\frac{\partial S}{\partial x^\mu}$

- In presence of forces:  $a^\mu = F^\mu$

### (\*) Example

$$\frac{\partial}{\partial u^\mu} \left( \gamma_{00} u^0 u^0 + \gamma_{ii} u^i u^i \right) = \gamma_{00} \frac{\partial u^0}{\partial u^\mu} u^0 + \gamma_{00} u^0 \frac{\partial u^0}{\partial u^\mu} + \gamma_{ii} \frac{\partial u^i}{\partial u^\mu} u^i + \gamma_{ii} u^i \frac{\partial u^i}{\partial u^\mu} =$$

$$= \gamma_{00} u^0 + \gamma_{00} u^0 + \gamma_{ii} u^i + \gamma_{ii} u^i = 2(\gamma_{00} u^0 + \gamma_{ii} u^i)$$

$$\frac{\partial u^0}{\partial x^\mu} = \delta^0_\mu$$

# DYNAMICS OF FIELDS

Classical fields :  $\phi = \phi(x^\mu)$ ,  $\underbrace{\Phi_I(x^\mu)}_{\text{Set of fields}} \quad I = 1, \dots, n$

Action for classical fields is the functional :

$$S[\Phi_I] \equiv \int L dt = \int dt \int d^4x L \quad , \quad \text{where}$$

$L[\Phi_I, \partial_\mu \Phi_I]$  is a Lorentz scalar called LAGRANGIAN DENSITY.

The "equations of motion" for the fields follows from  $\delta S = 0$ :

- vary the fields :  $\Phi_I \rightarrow \Phi_I + \delta \Phi_I$

$$\partial_\mu \Phi_I \rightarrow \partial_\mu \Phi_I + \delta(\partial_\mu \Phi_I) = \partial_\mu \Phi_I + \partial_\mu (\delta \Phi_I)$$

- vary the Lagrangian and expand :  $L[\Phi_I, \partial_\mu \Phi_I] \rightarrow L[\Phi_I + \delta \Phi_I, \partial_\mu \Phi_I + \partial_\mu (\delta \Phi_I)]$

$$L[\Phi + \delta \Phi, \partial_\mu \Phi + \partial_\mu (\delta \Phi)] \approx L[\Phi, \partial_\mu \Phi] + \frac{\partial L}{\partial \Phi} \delta \Phi + \frac{\partial L}{\partial (\partial_\mu \Phi)} \partial_\mu (\delta \Phi)$$

- vary the action :  $S \rightarrow S + \delta S$  (drop  $I \dots$ )

$$\delta S = \int d^4x \left[ \frac{\partial L}{\partial \Phi} \delta \Phi + \frac{\partial L}{\partial (\partial_\mu \Phi)} \partial_\mu (\delta \Phi) \right] =$$

$\underbrace{\phantom{\int d^4x \left[ \frac{\partial L}{\partial \Phi} \delta \Phi + \frac{\partial L}{\partial (\partial_\mu \Phi)} \partial_\mu (\delta \Phi) \right]}}$   
P-P.

$$= - \int d^4x \partial_\mu \left( \frac{\partial L}{\partial (\partial_\mu \Phi)} \right) \delta \Phi + \int d^4x \partial_\mu \left( \frac{\partial L}{\partial (\partial_\mu \Phi)} \delta \Phi \right)$$

$\underbrace{\phantom{- \int d^4x \partial_\mu \left( \frac{\partial L}{\partial (\partial_\mu \Phi)} \right) \delta \Phi + \int d^4x \partial_\mu \left( \frac{\partial L}{\partial (\partial_\mu \Phi)} \delta \Phi \right)}}$   
total derivative

$$\left[ \frac{\partial L}{\partial (\partial_\mu \Phi)} \delta \Phi \right]_{\text{boundary}} = 0$$

$$\delta \Phi \Big|_{\text{boundary}} = 0$$

→ Euler-Lagrange equations for fields:

$$\frac{\partial \mathcal{L}}{\partial \Phi_I} - \partial_\mu \left[ \frac{\partial \mathcal{L}}{\partial (\partial_\mu \Phi_I)} \right] = 0$$

Example: Scalar field

$$\mathcal{L} \equiv -\frac{1}{2} \eta^{\alpha\beta} (\partial_\alpha \phi) (\partial_\beta \phi) - V(\phi)$$

$$\frac{\partial \mathcal{L}}{\partial \phi} = -\frac{dV}{d\phi} ; \quad \frac{\partial \mathcal{L}}{\partial (\partial_\alpha \phi)} = -\eta^{\alpha\beta} (\partial_\beta \phi) \Rightarrow$$

$$-\frac{dV}{d\phi} + \underbrace{\partial_\beta (\eta^{\alpha\beta} \partial_\alpha \phi)}_{} = 0$$

$$\eta^{\alpha\beta} \partial_\alpha \partial_\beta \phi \equiv \square \rightarrow \square \phi - \frac{dV}{d\phi} = 0$$

- Exercise:
- Find dimensions of  $\mathcal{L}$  in natural units  $c=G=\hbar=1$
  - Specify to  $V(\phi) = \frac{1}{2} m^2 \phi^2$ : Klein-Gordon equation

# ELECTRODYNAMICS

Maxwell equations :

$$\left. \begin{array}{l} \text{curl}(\vec{B}) - \partial_t \vec{E} = 4\pi \vec{J} \\ \text{div}(\vec{E}) = 4\pi \rho \\ \text{curl}(\vec{E}) - \partial_t \vec{B} = 0 \\ \text{div}(\vec{B}) = 0 \end{array} \right\} \quad \begin{array}{l} (i) \\ (ii) \\ (iii) \\ (iv) \end{array}$$

Introducing a scalar  $\phi$  and vector  $\vec{A}$  potential, (iii)(iv)  $\Rightarrow$

$$\begin{aligned} \vec{E} &= \text{grad}(\phi) - \partial_t \vec{A} \\ \vec{B} &= \text{curl}(\vec{A}) \end{aligned}$$

with the "gauge ambiguity" :

$$\begin{aligned} \phi &\rightarrow \phi - \partial_t \chi \\ \vec{A} &\rightarrow \vec{A} + \text{grad}(\chi) \end{aligned}$$

Def: 4-potential  $A^\alpha \equiv (\phi, A^i)$   $\alpha = 0, 1, 2, 3$   $i = 1, 2, 3$

Note:  $A_\alpha = \gamma_{\alpha\beta} A^\beta = (-\phi, A^i)$

In Lorentz gauge  $\partial_\alpha A^\alpha = 0 \rightarrow$  Maxwell equations read:

$$\boxed{\Box A^\alpha = 4\pi J^\alpha}$$

with :  $J^\alpha = (\rho, J^i)$  4-current.

Def: Faraday/Maxwell tensor  $F_{\alpha\beta} \equiv \partial_\alpha A_\beta - \partial_\beta A_\alpha$

## Properties :

- $F_{\alpha\beta} = -F_{\beta\alpha}$  Antisymmetric.

- $F_{0i} = \partial_0 A_i - \partial_i A_0 = \partial_t A_i - \partial_i \phi = -E_i \quad i=1,2,3$

- $F_{ij} = \partial_i A_j - \partial_j A_i = \epsilon_{ijk} B^k$   
 $\downarrow$   
 cyclic permutations

- $F_{\alpha\beta} = \begin{pmatrix} 0 & -E_1 & -E_2 & -E_3 \\ +E_1 & 0 & +B_3 & -B_2 \\ +E_2 & -B_3 & 0 & +B_1 \\ +E_3 & +B_2 & -B_1 & 0 \end{pmatrix}$

- Lorentz transformation :  $F'_{\alpha'\beta'} = \Lambda^\alpha_{\alpha'} \Lambda^\beta_{\beta'} F_{\alpha\beta}$

[Verify ; it is tensor ...]

Matrix notation :  $F' = \Lambda F \Lambda^T$

— Rotations :

$$\vec{E}' = R \vec{E} \quad ; \quad \vec{B}' = R \vec{B}$$

— Boost in direction "x" at speed V :

$$\left\{ \begin{array}{l} E'_x = E_x \\ E'_y = \gamma(E_y - V B_z) \\ E'_z = \gamma(E_z + V B_y) \end{array} \right. \quad \left\{ \begin{array}{l} B'_x = B_x \\ B'_y = \gamma(B_y + V E_z) \\ B'_z = \gamma(B_z - V E_y) \end{array} \right.$$

Maxwell equations in terms of the  $F_{\alpha\beta}$ :

$$F^{\alpha\beta} = \eta^{\mu\alpha} \eta^{\nu\beta} F_{\mu\nu} = \begin{pmatrix} 0 & +E_1 & +E_2 & +E_3 \\ -E_1 & & & \\ -E_2 & & & \\ -E_3 & & & \end{pmatrix} \rightarrow \begin{aligned} F^{00} &= 0 \\ F^{0i} &= E^i \\ F^{ij} &= \epsilon^{ijk} B_k \end{aligned}$$

Compute derivatives of  $F^{\alpha\beta}$  and read off eqs (i) and (ii):

$$\partial_i F^{0i} = \partial_i (\eta^{00} \eta^{ii} F_{0i}) = \partial_i E^i = \text{div}(\vec{E})$$

$$\partial_\mu F^{i\mu} = \underbrace{\partial_\mu F^{00}}_{-E^i} + \underbrace{\partial_j F^{ij}}_{\epsilon^{ijk} B_k} = -\partial_t \vec{E} + \text{curl}(\vec{B})$$

$$\boxed{\partial_\mu F^{i\mu} = 4\pi J^i}$$

Similarly, eqs (iii) and (iv) can be combined in:

$$\boxed{\partial_\mu F_{\nu\lambda} = \partial_\mu F_{\nu\lambda} + \partial_\nu F_{\lambda\mu} + \partial_\lambda F_{\mu\nu} = 0}$$

### Remarks

- $F_{\alpha\beta}$  is a tensor  $\rightarrow$  equations transform "correctly" under Lorentz transf.
- Charge conservation follows from antisymmetry:

$$0 = \partial_\mu \partial_\nu F^{\mu\nu} = 4\pi \partial_\nu J^\nu$$

- Lagrangian density  $\mathcal{L} = \mathcal{L}[A_\mu, \partial_\nu A_\mu]$ :

$$\boxed{\mathcal{L} = -\frac{1}{4} F_{\alpha\beta} F^{\alpha\beta} + \frac{1}{c} J_\mu A^\mu}$$