

### 3+1 DECOMPOSITION OF GR

$${}^{(4)}G_{ab} = {}^{(4)}R_{ab} - \frac{1}{2} {}^{(4)}R g_{ab} = 8\pi T_{ab}$$

#### HAMILTONIAN CONSTRAINT

Consider the projection of  $G_{ab}$  orthogonal to  $\Sigma$ :

$$\begin{aligned} n^a n^b {}^{(4)}G_{ab} &= n^a n^b {}^{(4)}R_{ab} - \frac{1}{2} {}^{(4)}R \underbrace{n^a n^b g_{ab}}_{n^a n^b (\gamma_{ab} - n_a n_b)} \\ &= n^a n^b {}^{(4)}R_{ab} + \frac{1}{2} {}^{(4)}R \end{aligned}$$

$n^a n^b (\gamma_{ab} - n_a n_b) = -\underbrace{n^a n_a}_{=-1} \underbrace{n^b n_b}_{=-1} = -1$

$$E \equiv n^a n^b T_{ab} \stackrel{\text{def.}}{=} \text{MATTER ENERGY DENSITY measured by Eulerian observers}$$

Combine the projection with the contracted Gauss relation \*

$$\begin{aligned} 2n^a n^b G_{ab} &= 2n^a n^b {}^{(4)}R_{ab} + {}^{(4)}R = R + K^2 - K_{ij} K^{ij} \\ &= 16\pi n^a n^b T_{ab} = 16\pi E \end{aligned}$$

$$\boxed{R + K^2 - K_{ij} K^{ij} = 16\pi E}$$

Contains only spatial quantities and spatial derivatives.

#### MOMENTUM CONSTRAINT

Consider the mixed projection of  $G_{ab}$  once along  $\Sigma_t$  and once along  $n^a$ :

$$\begin{aligned} \gamma_a^p n^q G_{pq} &= \gamma_a^p n^q {}^{(4)}R_{pq} - \frac{1}{2} {}^{(4)}R \gamma_a^p n^q g_{pq} = \\ &= \gamma_a^p n^q {}^{(4)}R_{pq} - \frac{1}{2} {}^{(4)}R \underbrace{\gamma_a^p n^q (\gamma_{pq} - n_p n_q)}_{\gamma_a^p n^q \gamma_{pq} - n^q n_q \gamma_a^p n_p} = \\ &= \gamma_a^p n^q {}^{(4)}R_{pq} \end{aligned}$$

$\gamma_a^p n^q \gamma_{pq} = 0$        $n^q n_q \gamma_a^p n_p = 0$

$$P_a \equiv -\gamma_a^c n^d T_{cd} \stackrel{\text{def.}}{=} \text{MATTER MOMENTUM DENSITY of Eulerian observers}$$

Combine the projection with the contracted Gauss eq  $\times$

$$\begin{aligned} \gamma_a^p n^q G_{pq} &= \gamma_a^p n^q {}^{(4)}R_{pq} = D_a K - D_p K^p_a \\ &= 8\pi P_a \end{aligned}$$

$$\boxed{D_j K^j_i - D_i K = 8\pi P_i}$$

Contains only spatial quantities and derivatives.

Only 3 equations

## CURVATURE EVOLUTION

Consider full projection of Einstein's eq on  $\Sigma_t$ .

Use the trace-reversed version:

$${}^{(4)}R_{ab} = 8\pi \left( T_{ab} - \frac{1}{2} T g_{ab} \right)$$

project:

$$\gamma_a^p \gamma_b^q {}^{(4)}R_{pq} = 8\pi \left( \gamma_a^p \gamma_b^q T_{pq} - \frac{1}{2} T \gamma_a^p \gamma_b^q g_{pq} \right)$$

$$S_{ab} \equiv \gamma_a^p \gamma_b^q T_{pq} \stackrel{\text{def.}}{=} \text{MATTER STRESS TENSOR as measured by Eulerian obs}$$

Note it is a purely spatial quantity defined on  $\Sigma_t$ .

Trace:

$$S = S_{ab} g^{ab} = S_{ij} \gamma^{ij}$$

Note that  $(E, P_a, S_{ab})$  fully determine  $T_{ab}$ :

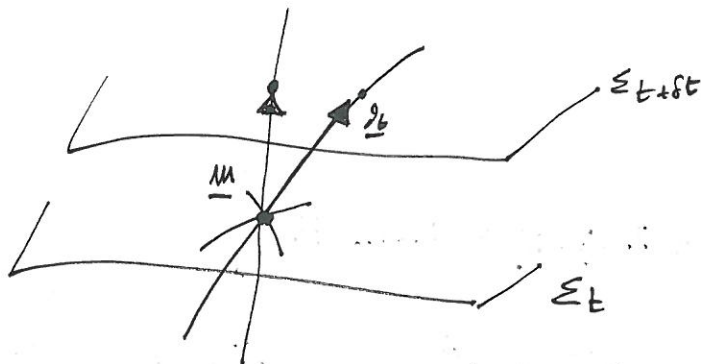
## ADAPTED COORDINATES

The goal is to translate the tensorial eqs into PDEs.

Introduce coords. on  $\Sigma$   $x^i = (x^1, x^2, x^3)$

Basis of the tangent space  $T(M)$   $(\underline{\partial}_t, \underline{\partial}_i) \stackrel{\text{def.}}{=} \text{NATURAL BASIS}$

$\underline{\partial}_t$  TIME VECTOR  $\stackrel{\text{def.}}{=} \text{tangent to } x^i = (\text{const})^i \text{ lines}$



Properties

- $(\nabla_t)_a (\underline{\partial}_t)^a = 1 \Rightarrow \underline{m}, \underline{\partial}_t$  Lie-drag  $\Sigma_t$

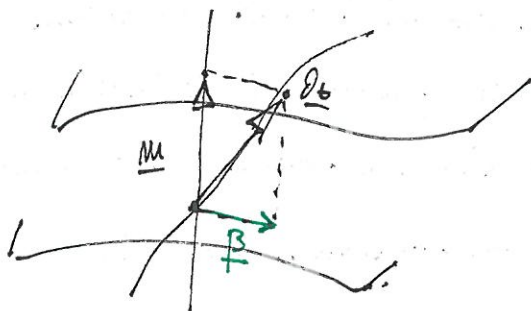
- However, in general  $\underline{\partial}_t$  is not parallel to  $\underline{m}$  (depends on  $x^i$ )

$$\underline{\partial}_t = \underline{m} + \underline{\beta} = \alpha \underline{n} + \underline{\beta}$$

- SHIFT VECTOR  $\stackrel{\text{def.}}{=} \underline{\beta}$  defined by the above formula

$$1 = (\nabla_t)_a (\underline{\partial}_t)^a = \underbrace{(\nabla_t)_a m^a}_{=1} + (\nabla_t)_a \beta^a \Rightarrow (\nabla_t)_a \beta^a = \alpha n_a \beta^a = 0$$

In components (natural basis):  $\beta^i = (0, \beta^1, \beta^2, \beta^3)$



$$\begin{aligned}
 S_{ab} &= \delta_a^P \delta_b^Q T_{PQ} = (\delta_a^P + n^P n_a) (\delta_b^Q + n^Q n_b) T_{PQ} = \\
 &= \delta_a^P \delta_b^Q T_{PQ} + \delta_a^P n^Q n_b T_{PQ} + n^P n_a \delta_b^Q T_{PQ} + n_a n_b \underbrace{n^P n^Q}_{=E} T_{PQ} = \\
 &= T_{ab}
 \end{aligned}$$

$$T_{ab} = S_{ab} + 2n_a P_b + n_a n_b E$$

$$\begin{aligned}
 \text{Trace: } g^{ab} T_{ab} = T &= g^{ab} S_{ab} + \underbrace{g^{ab} n_a P_b}_{n_a P^a = 0} + g^{ab} n_b P_a + \underbrace{n^a n_a}_{=-1} E \\
 &= S - E
 \end{aligned}$$

Combine projection with the combined Gauss+Ricci eq:

$$\begin{aligned}
 \delta_a^P \delta_b^Q \gamma^{\perp} R_{PQ} &= -\tilde{\alpha}^{-1} \mathcal{L}_m K_{ab} - \tilde{\alpha}^{-1} D_a D_b \alpha + R_{ab} + K K_{ab} - 2 K_{ac} K_b^c \\
 &= 8\pi \left[ S_{ab} - \frac{1}{2} (S - E) \gamma_{ab} \right]
 \end{aligned}$$

$$\mathcal{L}_m K_{ij} = -D_i D_j \alpha + \alpha \{ R_{ij} + K K_{ij} - 2 K_{ik} K_j^k + 4\pi [(S-E) \gamma_{ij} - 2 S_{ij}] \}$$

Einstein equation is equivalent to the system composed of the constraints (1 scalar and one rank-4 tensorial equation with 3 independent components) and of the curvature evolution. The latter is a rank-2 tensorial equation involving symmetric tensors  $\Rightarrow$  6 independent components. Thus  $1 + 3 + 6 = 10$  independent components.

Note: in general  $\underline{\partial}_t$  is NOT timelike:

$$(\underline{\partial}_t)_a (\underline{\partial}_t)^a = -\alpha^2 + \beta_a \beta^a = -\alpha^2 + \beta^2$$

$$\underline{\partial}_t \text{ is } \begin{cases} \text{TIMELIKE} & \Leftrightarrow \beta^2 < \alpha^2 \\ \text{NULL} & \Leftrightarrow \beta^2 = \alpha^2 \\ \text{SPACELIKE} & \Leftrightarrow \beta^2 > \alpha^2 \end{cases}$$

it depends on the choice of the coordinates! In the latter case one has a Superluminal shift.

$$\underline{\partial}_t = \alpha \underline{n} + \underline{\beta} \rightarrow \text{components in adapted coordinates: } \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} = \alpha \underline{n}^a + \begin{pmatrix} 0 \\ \beta^1 \\ \beta^2 \\ \beta^3 \end{pmatrix}$$

$$\Rightarrow n^a = (\alpha^{-1}, \beta^i)$$

Metric components:

$$g_{\alpha\beta} = g(\partial_\alpha, \partial_\beta)$$

$$g_{00} = (\underline{\partial}_t)^a (\underline{\partial}_t)_a = -\alpha^2 + \beta_i \beta^i$$

$$g_{0i} = (\underline{\partial}_t)^a (\underline{\partial}_i)_a = (\underline{n} + \underline{\beta}) \cdot \underline{\partial}_i = \beta_i \quad (\underline{n} \cdot \underline{\partial}_i = 0)$$

$$g_{ij} = \delta_{ij}$$

$$g_{\alpha\beta} = \begin{pmatrix} g_{00} & g_{0i} \\ g_{i0} & g_{ij} \end{pmatrix} = \begin{pmatrix} -\alpha^2 + \beta_k \beta^k & \beta_i \\ \beta_i & \delta_{ij} \end{pmatrix}$$

$$g^{\alpha\beta} = \begin{pmatrix} -\alpha^{-2} & \alpha^{-2} \beta^j \\ \alpha^{-2} \beta^j & \delta^{ij} - \frac{\beta^i \beta^j}{\alpha^2} \end{pmatrix} \quad \text{Note: } g^{ij} \neq \delta^{ij} !$$

Determinant (Cramer inversion formula):

$$g^{00} = \frac{1}{\det g_{\alpha\beta}} \det \delta_{ij} = -\frac{1}{\alpha^2} \Rightarrow \sqrt{g} = \alpha \sqrt{\gamma}$$

$$\text{where } g \equiv \det g_{\alpha\beta}, \quad \gamma \equiv \det \delta_{ij}$$

they depend on the coordinate!

Finally consider the Lie derivative:

$$\underline{L}_u = \underline{L}_{\partial_t} - \underline{L}_{\underline{\beta}}$$

$$\underline{L}_{\partial_t} = \partial_t$$

$$\underline{L}_{\underline{\beta}} K_{ij} = \beta^k \partial_k K_{ij} + K_{kj} \partial_i \beta^k + K_{ik} \partial_j \beta^k \quad (\text{def. of Lie-derivative})$$

Note that one can substitute  $\partial_k \leftrightarrow D_k$  in the formula above.  
That is convenient for the equations:

$$\underline{L}_{\underline{\beta}} \gamma_{ij} = \underbrace{\beta^k D_k \gamma_{ij}}_{=0} + D_i \beta_j + D_j \beta_i$$

Now one can insert all these formulas, specified to the adopted coordinates, into the 3+1 GR equations:

$$\begin{aligned} \underline{L} &\mapsto \partial_t, D_i \\ D_i &\mapsto \partial_i \text{ and Christoffel } \Gamma_{jk}^i \end{aligned}$$

and the equations reduce to PDE.

The equations determine  $(\gamma_{ij}, K_{ij})$  but NOT  $(\alpha, \beta^i)$  which are related to the choice and evolution of the coordinates.

For the special case  $\alpha=1, \beta^i=0$  one obtains in PRINCIPAL PART:

$$\left\{ \begin{aligned} & - \frac{\partial^2 \gamma_{ij}}{\partial t^2} + \gamma^{kl} \left( \frac{\partial^2 \gamma_{ij}}{\partial x^k \partial x^l} + \frac{\partial^2 \gamma_{kl}}{\partial x^i \partial x^j} - \frac{\partial^2 \gamma_{ij}}{\partial x^i \partial x^k} - \frac{\partial^2 \gamma_{kl}}{\partial x^j \partial x^l} \right) + \text{terms with lower derivatives} = 0 \\ & \gamma^{ik} \gamma^{jl} \frac{\partial^2 \gamma_{ij}}{\partial x^k \partial x^l} - \gamma^{ij} \gamma^{kl} \frac{\partial^2 \gamma_{ij}}{\partial x^k \partial x^l} + \text{terms with lower derivatives} = 0 \\ & \gamma^{jk} \frac{\partial^2 \gamma_{ki}}{\partial x^j \partial t} - \gamma^{kl} \frac{\partial^2 \gamma_{kl}}{\partial x^i \partial t} + \text{terms with lower derivatives} = 0 \end{aligned} \right.$$

Notes:

- 2nd order equations, QUASI-LINEAR (2nd derivatives)
- 10 eqs for 6 unknowns  $\rightarrow$  overdetermined
- $\sigma^{kl} = \sigma^{kl}(\gamma_{ij})$  via the inversion formula

## CLOSURE OF THE CONSTRAINTS

Consider the generalized GR :

$$G_{ab} + \nabla_a z_b + \nabla_b z_a - g_{ab} \nabla_c z^c - \kappa (t_a z_b + t_b z_a + g_{ab} t^c z_c) = 0$$

known as the Z4 system, with  $t^a$  a time-like vector on  $\kappa > 0$ .

GR is obtained for  $z_a = 0$  (or  $t^a$  Killing vector)

in adapted coordinates one has :

$$\left\{ \begin{array}{l} \mathcal{L}_m \gamma_{ij} = -2\alpha K_{ij} \\ \mathcal{L}_m K_{ij} = (\dots) + \alpha (\nabla_i z_j + \nabla_j z_i) \\ \mathcal{L}_m \theta = \frac{1}{2} H - \theta K + D_k z^k - z^k D_k \ln \alpha - 3\kappa \theta \\ \mathcal{L}_m z_i = M_i + D_i \theta - \theta D_i \ln \alpha - z^k K^k_i z_k - \kappa z_i \end{array} \right.$$

where :

$$\theta \equiv n_a z^a = \alpha z^0$$

$$\begin{array}{l} 0 = H = \text{Hamiltonian constraint} \\ 0 = M_i = \text{Momentum constraint} \end{array} \quad \left\{ \begin{array}{l} \text{constraints are "time derivatives" of } z^a \end{array} \right.$$

All the equations are now evolution equations.

Consider the Bianchi identities :

$$\nabla^a G_{ab} = 0 \Rightarrow 0 = \square z_a + R_{ab} z^b - \kappa \nabla^a (t_a z_b + t_b z_a + g_{ab} t^c z_c)$$

the last equation indicates that :

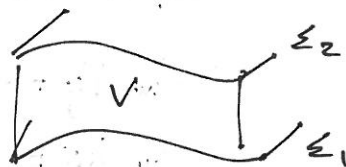
- $t=0$  :  $z_a = 0$  and  $H = M_i = 0 \Leftrightarrow$  CONSTRAINTS ARE SATISFIED ALL TIMES
- Constraint subsector is propagated and damped ( $\kappa > 0$ )

# HISTORICAL REMARKS ON 3+1 DEC.

- 1927 Darmois Gaussian coordinates ( $\alpha=1, \beta^i=0$ )
- 1939 Lichnerowicz ( $\alpha \neq 1, \beta^i=0$ )
- 1948 Choquet-Bruhat Generic  $\alpha, \beta^i$
- 1962 Arnowitt + Deser + Misner Hamiltonian formulation (ADM)

ADM

$$S_{GR} = \int_V {}^{(4)}R \sqrt{g} d^4x + \text{ACTION} + \text{boundary terms}$$



3+1 decomposition  $\Rightarrow$

$$= \int_{t_1}^{t_2} \int_{\Sigma_t} \underbrace{\alpha (R + K_{ij} K^{ij} - K^2)}_{\mathcal{L} \text{ LAGRANGIAN DENSITY}} \sqrt{\gamma} d^3x dt$$

Conjugate momenta:  $\pi^{ij} = \frac{\partial \mathcal{L}}{\partial \dot{\gamma}_{ij}} = \sqrt{\gamma} (K \gamma^{ij} - K^{ij})$

Hamiltonian density:  $\mathcal{H} = \pi^{ij} \dot{\gamma}_{ij} - \mathcal{L}$

Hamiltonian:  $H = \int_{\Sigma_t} \mathcal{H} d^3x$

Equations:

$$\frac{\delta H}{\delta \pi^{ij}} = \dot{\gamma}_{ij} = -2\alpha K_{ij} + D_i \beta_j + D_j \beta_i$$

$$\frac{\delta H}{\delta \gamma^{ij}} = -\dot{\pi}^{ij} = \dots \text{equivalent to } \alpha K_{ij} \text{ UP TO A TERM } \propto \text{HAM. CONST.}$$

$$\frac{\delta H}{\delta \alpha} = 0 = \text{Hamiltonian const.}$$

$$\frac{\delta H}{\delta \beta^i} = 0 = \text{momentum const.}$$

Note: in this formulation  $\alpha, \beta^i$  appear as Lagrangian multipliers to enforce constraints.